

Appropriate Filtering for Education settings

June 2017

Provider Checklist Reponses

Schools in England (and Wales) are required "to ensure children are safe from terrorist and extremist material when accessing the internet in school, including by establishing appropriate levels of filtering". Furthermore, the Department for Education's statutory guidance 'Keeping Children Safe in Education' obliges schools and colleges in England to "ensure appropriate filters and appropriate monitoring systems are in place. Children should not be able to access harmful or inappropriate material from the school or colleges IT system" however, schools will need to "be careful that "over blocking" does not lead to unreasonable restrictions as to what children can be taught with regards to online teaching and safeguarding."

Included within the Scottish Government national action plan on internet safety, schools in Scotland are expected to "have policies in place relating to the use of IT and to use filtering as a means of restricting access to harmful content."

By completing all fields and returning to UK Safer Internet Centre (enquiries@saferinternet.org.uk), the aim of this document is to help filtering providers to illustrate to education settings (including Early years, schools and FE) how their particular technology system(s) meets the national defined 'appropriate filtering standards. Fully completed forms will be hosted on the UK Safer Internet Centre website alongside the definitions

It is important to recognise that no filtering systems can be 100% effective and need to be supported with good teaching and learning practice and effective supervision.

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Filtering System	Fortinet, FortiGate
Date of assessment	15 th February 2017

System Rating response

Where a supplier is able to confirm that their service fully meets the issue identified in a specific checklist the appropriate self-certification colour for that	
question is GREEN.	
Where a supplier is not able to confirm that their service fully meets the issue	
identified in a specific checklist question the appropriate self-certification colour	
for that question is AMBER.	

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Illegal Online Content

Filtering providers should ensure that access to illegal content is blocked, specifically that the filtering providers:

Aspect	Rating	Explanation
Are IWF members		Fortinet, the manufacturer of
		the filtering products
		provided are a member.
 and block access to illegal Child Abuse 		The IWF list is part of the
Images (by actively implementing the IWF		FortiGuard web filtering
CAIC list)		service and is implemented
		on all installations.
 Integrate the 'the police assessed list of 		The list is part of the
unlawful terrorist content, produced on		FortiGuard web filtering
behalf of the Home Office'		service.

Inappropriate Online Content

Recognising that no filter can guarantee to be 100% effective, providers should both confirm, and describe how, their system manages the following content

Content	Explanatory notes – Content that:	Rating	Explanation
Discrimination	Promotes the unjust or prejudicial		Category - Discrimination
	treatment of people on the		Sites that promote the
	grounds of race, religion, age, or		identification of racial groups,
	sex.		the denigration or subjection of
			groups, or the superiority of
			any group.
Drugs /	displays or promotes the illegal		Category – Drug Abuse
Substance	use of drugs or substances		Websites that feature
abuse			information on illegal drug
			activities including: drug
			promotion, preparation,
			cultivation, trafficking,
			distribution, solicitation,
			etc
Extremism	promotes terrorism and terrorist		Category - Extremist Groups
	ideologies, violence or intolerance		Sites that feature radical
			militia groups or
			movements with aggressive
			antigovernment convictions
			or beliefs.
Malware /	promotes the compromising of		Category - Malicious Websites
Hacking	systems including anonymous		Sites that host software that is
	browsing and other filter bypass		covertly downloaded to a
	tools as well as sites hosting		user's machine to collect
	malicious content		information and monitor user
			activity, and sites that are
			infected with destructive or
			malicious software,
			specifically designed to
			damage, disrupt, attack or

		manipulate computer systems without the user's consent, such as virus or trojan horse. Category - Hacking Websites that depict illicit activities surrounding the unauthorized modification or access to programs, computers, equipment and websites.
Pornography	displays sexual acts or explicit images	Category – Pornography Mature content websites (18+ years and over) which present or display sexual acts with the intent to sexually arouse and excite.
		Category - Nudity and Risque Mature content websites (18+ years and over) that depict the human body in full or partial nudity without the intent to sexually arouse.
Piracy and copyright theft	includes illegal provision of copyrighted material	Category - Peer-to-peer File Sharing Websites that allow users to share files and data storage between each other.
Self Harm	promotes or displays deliberate self harm (including suicide and eating disorders)	Category - Explicit Violence This category includes sites that depict offensive material on brutality, death, cruelty, acts of abuse, mutilation, etc.
Violence	Displays or promotes the use of physical force intended to hurt or kill	Category - Explicit Violence This category includes sites that depict offensive material on brutality, death, cruelty, acts of abuse, mutilation, etc.

This list should not be considered an exhaustive list. Please outline how the system manages this content and many other aspects

General categorisation is based on an automated categorisation engine which has been developed by Fortinet and which has evolved over more than 13 years since its initial conception. The system uses language dictionaries to allow support in any language. Sites are scanned based on a number of methods:

- new pages on identified popular sites
- URLs which are requested by a user, but which are not rated. Such URLs will go into a queue to be rated based on hit count and the current charge on the system.
- Bulk requests from a specific customer. Such requests are treated case by case, but we generally offer this as a free service.
- Individual requests received from customers or users. These requests can be received in a number of ways and may be either requests to rate an unrated site, or requests to change

the rating of a site.

In general, initial rating is done by the automated rating system

. Malicious content (viruses, exploits) is not rated using this system (more details below) because such sites generally have legitimate visible content.

Ratings may also be obtained from third party feeds, including feeds from governments or other organisations, containing such content as extremism or sexual violence.

Requests to change the rating of an already categorised URL will always be dealt with by a human, to ensure that the request gets the highest level of care and attention.

Providers should be clear how their system does not over block access so it does not lead to unreasonable restrictions

This is covered below, but to summarise:

A flexible hierarchical search system is used which allows ratings to be given to anything from a top-level domain or an IP address, right down to a fully-specified URL. This allows for example a blogging site such as wordpress.com to have a "Personal Websites and Blogs" rating, whilst individual blogs can have a rating based on their actual content. It also ensures that the entire wordpress domain is not blocked just because a single blogger posts inappropriate content.

Filtering System Features

How does the filtering system meet the following principles:

Principle	Rating	Explanation
 Age appropriate, differentiated filtering – 		Network Connect would
includes the ability to vary filtering strength		normally integrate the
appropriate to age and role		filtering system with the
		Schools Active Directory
		system allowing differing
		security policies based on
		age and role within the
		organisation. Users can also
		be grouped in whatever way
		is required, and policies can
		be applied to different
		groups to vary filtering
		strength or type of content.
		Age based groups are
		created alongside role
		based, and users may belong
		to multiple groups.
Control - has the ability and ease of use that		Network Connect can
allows schools to control the filter themselves		provide training allowing
to permit or deny access to specific content		local administrators the
		ability to black and white list
		specific sites as they see fit.
		As part of the service we will
		also provide any changes

 Filtering Policy – the filtering provider publishes a rationale that details their approach to filtering with classification and categorisation as well as over blocking requested.

Fortinet approaches web filtering differently for three broad areas: -

Malicious content

This includes viruses and sites which are capable of exploiting vulnerabilities in users' browsers and other applications. Often these sites will be legitimate sites which have been compromised by a cybercriminal. The approach to detecting such sites is very different from general categorisation, since the visible content of the site provides no clues of the malicious content hidden within.

Offensive content

This includes such categories as pornography, violence and extremism, and are considered to be the categories which must be prioritised in terms of coverage and accuracy. As a result, a disproportionate amount of effort is given to rating these categories, in terms of human resources, research and development of automation tools, and ongoing daily processing.

General content

This includes such categories as shopping, news, sport etc, where ambiguities in rating can be tolerated.

The goal of separating these groups is to ensure that the areas which represent the greatest risk are those for which Fortinet

	applies the highest priority.
	applies the highest phonity.
	For the question of over-
	blocking, care is taken to
	block on complete URLs
	wherever possible, rather
	than blocking based on a
	domain name or IP address.
	This approach allows a site
	to continue to function even
	if it contains malicious
	content, since only that
	content will be blocked,
	rather than the entire site
	being blocked because of
	one file. Note however that when a malicious file is
	identified on a given web
	site, crawlers will be
	dispatched to try to identify
	any other malicious content
	which may be hidden in the
	same site. Sometimes it is
	appropriate to give a single
	categorisation to an entire
	domain, so a hierarchical
	search is used to allow entire
	subdomains or paths within
	a site to be blocked if
	necessary. This applies also
	to user- defined URL
Identification the filtering system should	patterns.
Identification - the filtering system should have the ability to identify users.	Users are identified either
have the ability to identify users	by an explicit login to the system, or using the
	Fortinet single sign- on
	capabilities, in which a user
	can be identified from an
	authentication with the
	existing Active Directory.
Mobile and App content – mobile and app	Fortinet has a full range of
content is often delivered in entirely different	security components
mechanisms from that delivered through a	including Application
traditional web browser. To what extent does	Detection which enables
the filter system block inappropriate content	malicious or inappropriate
via mobile and app technologies (beyond	mobile applications and
typical web browser delivered content)	content to be identified and
	blocked.
	Over 3,000 application are
	recognised and can be
	controlled by Fortinet.

	Application control restricts
	the blocked application
	based on the users security
	profile ensuring granularity.
 Multiple language support – the ability for the 	The Fortinet web filtering
system to manage relevant languages	system has inherent multi-
System to manage relevant languages	language support where
	each language has an
	extensive dictionary which
	is used by the rating
	system to categorise
	content. The human web
	filtering team has fluency
	in over 15 languages.
Network level - filtering should be applied at	The FortiGate UTM firewall
'network level' ie, not reliant on any software	provides web filtering at
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on user devices	the network level.
Reporting mechanism – the ability to report	Reporting of URLs can be
inappropriate content for access or blocking	done via a number of
	means:
	From the fortiguard.com
	web site
	Through Network Connect
	_
	customer support team.
	Thursday of some leville into
	Through a form built into
	the default replacement
	page which is presented
	when a user tries to access
	blocked content.
Reports – the system offers clear historical	Any category (including
information on the websites visited by your	those which are overridden
users	by the system
users	administrator) can be
	•
	optionally logged when
	there is a detection.
	Logs can be stored locally
	on the FortiGate device or
	sent to FortiCloud where
	they can be reported on
	and analysed.
	and unarysea.
	Alternatively outputs can
	be sent to a syslog or third
	party log server.

Filtering systems are only ever a tool in helping to safeguard children when online and schools have an obligation to "consider how children may be taught about safeguarding, including online, through teaching and learning opportunities, as part of providing a broad and balanced curriculum".¹

Please note below opportunities to support schools (and other settings) in this regard

Information about staying safe online can be integrated into the blocking to inappropriate content, so rather than just blocking a page, information or a redirect is used to present information about educating students about online safety or any other topic.

Advice and training on the management of the FortiGate and Web Filtering is available via Network Connect for teachers and administrators. Best practice advice can also be provided via Network Connect or through our consultant partners.

¹ https://www.gov.uk/government/publications/keeping-children-safe-in-education--2

PROVIDER SELF-CERTIFICATION DECLARATION

In order that schools can be confident regarding the accuracy of the self-certification statements, the supplier confirms:

- that their self-certification responses have been fully and accurately completed by a person or persons who are competent in the relevant fields
- that they will update their self-certification responses promptly when changes to the service or its terms and conditions would result in their existing compliance statement no longer being accurate or complete
- that they will provide any additional information or clarification sought as part of the selfcertification process
- that if at any time, the UK Safer Internet Centre is of the view that any element or elements of a provider's self-certification responses require independent verification, they will agree to that independent verification, supply all necessary clarification requested, meet the associated verification costs, or withdraw their self-certification submission.

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Date	11 th August 2017
Signature	R Heys